



**First Securities Law Colloquium:**  
*Company law without law*  
*Evaluating the SEHK's Corporate Governance Code*

11 April 2011, 2:00 – 5:00 pm

Chair, **Judith Sihombing**, Faculty of Law, The Chinese University of Hong Kong

- *An overview of the SEHK's proposed corporate governance rules.*  
**Prof. SH Goo**, Faculty of Law, The University of Hong Kong
- *Applying the SEHK's proposed corporate governance rules.*  
**Mr. James Wadham**, Partner, Clifford Chance
- *Evaluating the SEHK's proposed corporate governance rules.*  
**Prof. Kenneth Kim**, State University of New York (SUNY) at Buffalo

*The vast majority of companies listed on the Stock Exchange of Hong Kong (SEHK) are not incorporated under Hong Kong company law, which means that the standard of corporate governance for SEHK listed companies is set by that Exchange's own listing rules. Are those rules sufficient to protect investors? Do the recent proposals to amend the rules go far enough? This colloquium will address those pressing issues while the SEHK is weighing comments on proposed amendments. Please join us to share your views.*

**Date:** 11 April 2011 / **Time:** 2:00 – 5:00 pm

**Venue:** The Chinese University of Hong Kong Graduate Law Centre, 2/F Bank of America Building, 12 Harcourt Road, Central Hong Kong.

*Admission is free of charge*

**Registration**

Please send an email to [law-cfred@cuhk.edu.hk](mailto:law-cfred@cuhk.edu.hk), providing your name, title, and affiliation.

You will receive a confirmation of your registration via return email by 8 April.

## ~ About the Panel ~



**SH Goo**, currently Deputy Head of the Department of Law, is Associate Professor and the founding Director of the LLM in the Corporate and Financial Law programme (1999-2002) and a founder of the Asian Institute of International Financial Law (1999). He joined HKU in 1995 after five years of teaching at the University of Exeter and the University of East Anglia, England. He was promoted to Senior Lecturer with the title of Associate Professor in 1998. Over the years, he has taught many subjects including Contract law, Land Law, Company Law, Insolvency Law and Trust, and Corporate Governance.

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**James Wadham** is a partner in the Litigation & Dispute Resolution practice in Hong Kong and a core partner in the Asia Regulatory Group. James specialises in advising financial institutions and listed companies on risk avoidance, governance and compliance, regulatory investigations, and actual or asserted litigation. He also has broad experience in general commercial litigation and arbitration, encompassing a wide range of substantial and complex commercial disputes and regulatory matters.

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**Kenneth A. Kim, Ph.D.**, is a finance professor at the State University of New York (SUNY) at Buffalo where he is also the Academic Director of the Singapore EMBA Program and Chair of the MBA Program. Dr. Kim has held a variety of appoints at universities around the world, including the University of Tokyo, Chinese University of Hong Kong, Hong Kong Polytechnic University, Yonsei University (Korea), Asian University of Science and Technology (Thailand), Renmin University of China, Shanghai Jiatong University, Georgetown University, and the University of Michigan. Kim has also worked as a senior financial economist at the U.S. Securities and Exchange Commission. His primary research interests include corporate finance, corporate governance, behavioral finance, and Asian Financial Markets.