

CFRED's 35th Corporate and Finance Law Seminar:

UNDERSTANDING THE SOCIAL AND PSYCHOLOGICAL DIMENSION OF FINANCIAL REGULATION

by

Prof. Giuliano G. Castellano

University of Warwick, School of Law

Chaired by: **Prof. David C. Donald**, *Faculty of Law, CUHK*

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The CUHK Graduate Law Centre, 2/F Bank of America Tower, 12 Harcourt Road, Central

While behavioural approaches to finance have expanded our understanding of markets' dynamics, the analytical tools of behavioural sciences have been rarely applied to the study the decision-making process within financial regulatory authorities. Nonetheless, social psychology teaches us that any collective organisation responds to complex, yet defined, behavioural dynamics. In particular, according to the 'unified theory of social relations' developed by Alan Fiske, any collective enterprise is governed through a combination of four fundamental relational modes. This talk will introduce these four modes and it will illustrate how they can be applied to the EU financial regulatory framework. The aim is to shed new lights on how regulators think and possibly indicate new avenues for inter-disciplinary research in the field of financial regulatory governance.

This talk is based on a chapter co-authored with Prof. Geneviève Helleringer (Essec & Oxford), to be featured in: A. Avgouleas and D.C. Donald (eds), "The Political Economy of Financial Regulation" (Cambridge University Press, *forthcoming*).

All are welcome!
Admission is free of charge!

Please join us by registering your interest [here](#) by 11 September 2017.

ABOUT THE SPEAKER:



Dr. Giuliano Castellano is an Assistant Professor at the University of Warwick, School of Law, where he teaches and researches in the field of financial law and regulation. He is a Research Associate at the Ecole polytechnique (i3-CRG, CNRS, Paris) and, since 2010, he serves as a Legal Expert for the Italian delegation at the UN Commission on International Trade Law (UNCITRAL), Working Group VI (security interests). Before joining Warwick Law School, he was a Fellow at the LSE, Law Department (Law and Financial Markets Project).

Giuliano holds a Law Degree from Bocconi University (Milan), a PhD in Comparative Law (University of Turin, Inter-University Centre for Law, Economics and Institutions, Italy) and a PhD in Economics and Social Sciences (Ecole polytechnique, Paris). He published in peer-reviewed journals the field of financial regulatory governance, banking law, securities regulation, disaster risk financing and insurance regulation. He is currently conducting a research project on secured transactions law reforms, access to credit, and financial stability. This project is supported by the Economic and Social Research Council (ESRC) through the Impact Acceleration Account of the University of Warwick (ES/M500434/1) and the main findings have been presented at the 50th Anniversary Congress of UNCITRAL.