



香港中文大學
The Chinese University of Hong Kong

Centre for Financial Regulation and Economic Development

金融規管與經濟發展研究中心



FACULTY OF LAW

A CFRED Symposium:

CORPORATE GOVERNANCE OF FINANCIAL INSTITUTIONS: GLOBAL AND LOCAL PERSPECTIVES

14 March 2014, Friday, 11:30a.m. – 1:30p.m.

The CUHK Graduate Law Centre, 2/F Bank of America Tower, 12 Harcourt Road, Central, Hong Kong

The symposium will deal with recent developments in the field of corporate governance of financial institutions from different perspectives.

After a brief introduction to the topic given by Prof. Huang, CFRED Executive Director at the CUHK Faculty of Law, Prof. Casper from the University of Muenster, Germany, will draw the audience's attention in his keynote speech to the European and in particular the German perspective.

In his speech, Prof. Casper will address recent developments in the field of corporate governance for financial institutions in Europe and particularly in Germany. He will focus on their influence on the general debate related to corporate governance. The speech will be composed of three parts:

Firstly, Prof. Casper will give an overview of the main steps that have been taken to improve corporate governance for financial institutions in Europe since the financial crisis of 2007. Secondly, he will discuss the influence of the supervisory law governing financial institutions on corporate governance. Finally, Prof. Casper will draw the audience's attention to a question which has been controversial not only in relation to corporate governance but also in the area of improving the control of financial institutions, namely: should institutional investors play a more active role in the governance of companies as in the British Stewardship Code of 2010?

Afterwards, Prof. Xi Chao from the CUHK faculty of Law will turn the focus towards the Chinese perspective, before Prof. David C. Donald from the CUHK Faculty of Law will present the Hong Kong perspective in this field.

Programme:

11:30 – 12:00	Registration
12:00 – 12:10	Welcome and Introduction to the Topic (10 minutes) Prof. Robin Huang, <i>CFRED Executive Director, CUHK Faculty of Law</i>
12:10 – 12:50	Keynote Speech: (40 minutes) Corporate Governance of Financial Institutions – The European Perspective Prof. Dr. Matthias Casper, <i>Law Faculty, Westfälische Wilhelms-Universität Münster, Germany</i>
12:50 – 13:00	Commentator 1: (10 minutes) The Chinese Perspective Prof. Xi Chao, <i>CUHK Faculty of Law</i>
13:00 – 13:10	Commentator 2: (10 minutes) The Hong Kong Perspective Prof. David C. Donald, <i>CUHK Faculty of Law</i>
13:10 – 13:30	Discussion (15-20 minutes)

About the Keynote Speaker

Prof. Dr. Matthias Casper

Professor of Law, Westfälische Wilhelms-Universität Münster



Prof. Dr. Matthias Casper is Professor of Law of the law department at Westfälische Wilhelms-Universität Münster in Germany. Casper has written numerous articles on company law, banking law and law of capital markets, and is co-editor of the book *German Corporate Governance in International and European Context*, now in its second edition. He studied jurisprudence at the University of Heidelberg and Cambridge University as well as economics at Open University in Hagen, Germany. He graduated with a degree in economics in 1992, and in law in 1993. He passed the German bar exam and received his Ph.D. in 1998 from the University of Heidelberg, where he also finished his post-doctoral thesis in 2002. Since 2006 he is the Editor-in-Chief of *Journal of Banking Law and Banking* and 2012 member of the Study Group Financial Regulation at the German Ministry of Finance, Berlin.

All are welcome! Admission is free of charge!

Please join us by registering your interest here:

http://nems.law.cuhk.edu.hk/online-registration/?event_id=488

by 13 March 2014.

