



香港中文大學
The Chinese University of Hong Kong

Centre for Financial Regulation and Economic Development

金融規管與經濟發展研究中心



FACULTY OF LAW

A CFRED Seminar:

WHAT IS THE SCOPE OF SECTION 213 OF THE SECURITIES AND FUTURES ORDINANCE?

by

Prof. Chee Keong Low

The Chinese University of Hong Kong Business School

29 November 2013, Friday, 12:00noon – 2:00p.m.

Faculty of Law Boardroom, 6/F Lee Shau Kee Building, The Chinese University of Hong Kong, Shatin, N.T.

In this seminar the author shall refer to two cases namely the unanimous decision the Court of Final Appeal held in *Tiger Asia Management LLC v SFC* and the consent order by the Court of First Instance in *SFC v Hontex International Holdings Company Limited* to illustrate his concerns about potential regulatory over-reach with section 213 of the *Securities and Futures Ordinance*.

About the speaker:



Chee Keong LOW (“CK”) is an Associate Professor in Corporate Law at CUHK Business School with research interests in issues pertaining to corporate governance and the regulatory framework of capital markets on which subjects he has published in journals in Australasia, Europe and the United States of America. An Advocate and Solicitor of the High Court of Malaya, CK is presently a member of the Securities and Futures Appeals Tribunal in Hong Kong and previously served on both the Listing Committee of The Stock Exchange of Hong Kong as well as the Financial Reporting Review Panel. He also served on the board of directors of the Asian Institute of Finance in Malaysia for three years through April 2012. His research is currently supported by grants from Ernst & Young, Eversheds LLP, Noble Group, Tricor Group and Sino Group.

All are welcome!

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by 28 November 2013.

